



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/529,447	12/12/2005	Ulf Gyllensten	25401-39	2553

24256 7590 04/12/2007
DINSMORE & SHOHL, LLP
1900 CHEMED CENTER
255 EAST FIFTH STREET
CINCINNATI, OH 45202

EXAMINER

THOMAS, DAVID C

ART UNIT	PAPER NUMBER
----------	--------------

1637

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE.	DELIVERY MODE
3 MONTHS	04/12/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary	Application No. 10/529,447	Applicant(s) GYLLENSTEN ET AL.	
	Examiner David C. Thomas	Art Unit 1637	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 16 January 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) 1-8 and 15-17 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 9-14 and 18-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date: _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date <u>6/23/2005 7/25/2005 12/12/2005</u> | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Applicant's election with traverse of Group II, claims 9-14 and 18-20 in the reply filed on January 16, 2007 is acknowledged. Claims 1-8 and 15-17 are withdrawn from further prosecution. In the case of the restriction of invention groups, the traversal is on the grounds that there is no burden searching both groups. This is not found persuasive for several reasons. First, the separate classification of the two groups is prima facie evidence of burden, which evidence has not been rebutted. Second, the search for the product claims (kits comprising primers, probes and fluorophores for detection and quantitation of human papillomavirus (HPV)) is an entirely distinct search from the method claims, since the prior art which may be used to reject product claims are often entirely unrelated references which share common products.

In the case of the restriction of nucleotide sequences, only one primer sequence among each of the groups of SEQ ID NOS. 1-8 and SEQ ID NOS. 9-18, and one probe sequence among each of the groups of SEQ ID NOS. 21-24 and SEQ ID NOS. 25-29 is required for detection and quantification of human papillomavirus according to claim 9. For examination purposes, only one sequence will be searched in each group since a search in multiple expansive databases is required for every sequence. New policy was recently instituted at the USPTO to restrict searches to one sequence per group.

The requirement is still deemed proper and is therefore made FINAL.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 1637

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

4. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Gissmann et al. (U.S. Patent No. 6,228,368) in view of Marich et al. (Virology (1992) 186:77-776 and GenBank Accession No. M74117) and further in view of Buck et al. (BioTechniques (1999) 27:528-536).

With regard to claim 9, Gissmann teaches a sequence that can be used for designing primers SEQ ID NO:1 and SEQ ID NO:2, and the probe SEQ ID NO:21 for detection and quantification of HPV 16 (positions 91-111 of SEQ ID NO: 3 of Gissmann is homologous to SEQ ID NO. 1, positions 168-146 of SEQ ID NO: 3 of Gissmann is homologous to SEQ ID NO. 2, and positions 121-142 of SEQ ID NO: 3 of Gissmann is homologous to SEQ ID NO. 21).

Gissmann does not teach a kit comprising the amplification primers SEQ ID NO:9 and SEQ ID NO:10, and the probe SEQ ID NO:25, for HPV 35.

With regard to claim 9, Marich teaches a sequence that can be used for designing primers SEQ ID NO:9 and SEQ ID NO:10, and the probe SEQ ID NO:25 for detection and quantification of HPV 35 (positions 3365-3382 of the HPV 35 sequence taught by Marich is homologous to SEQ ID NO. 9, positions 3468-3451 of the sequence taught by Marich is homologous to SEQ ID NO. 10, and positions 3398-3427 of the sequence taught by Marich is homologous to SEQ ID NO. 25).

Marich does not teach a kit comprising the amplification primers SEQ ID NO:1 and SEQ ID NO:2, and the probe SEQ ID NO:21, for HPV 16.

It would have been *prima facie* obvious to one having ordinary skill in the art at the time the invention was made to utilize the sequences taught by Gissmann and Marich in order to design amplification primers and probes for a kit to detect and quantitate HPV in a type-specific manner. Thus, an ordinary practitioner would have been motivated to use such sequences in order to design primers and probes that are specific for particular HPV types, especially high-risk types such as HPV 16 and 35 associated with cervical cancer.

In the recent court decision *In Re Deuel* 34 USPQ 2d 1210 (Fed. Cir. 1995), the Court of Appeals for the Federal Circuit determined that the existence of a general method of identifying a specific DNA does not make the specific DNA obvious.

Regarding structural or functional homologs, however, the Court stated,

"Normally, a *prima facie* case of obviousness is based upon structural similarity, i.e., an established structural relationship between a prior art compound and the claimed compound. Structural relationships may provide the requisite motivation or suggestion to modify known compounds to obtain new compounds. For example, a prior art compound may suggest its homologs because homologs often have similar properties and therefore chemists of ordinary skill would ordinarily contemplate making them to try

Art Unit: 1637

to obtain compounds with improved properties (see page 9, paragraph 4 of attached ref)."

Since the claimed primers simply represent structural homologs of the oligonucleotides taught by Gissmann and Marich, which are 100% derived from sequences expressly suggested by the prior art of Gissmann and Marich as useful for primers for the detection and quantitation of human papillomavirus, and concerning which a biochemist of ordinary skill would attempt to obtain alternate compounds with improved properties, the claimed primers are *prima facie* obvious over the cited references in the absence of secondary considerations.

With regard to the issue of equivalence of the primers, MPEP 2144.06 notes "Substituting equivalents known for the same purpose. In order to rely on equivalence as a rationale supporting an obviousness rejection, the equivalency must be recognized in the prior art, and cannot be based on applicant's disclosure or the mere fact that the components at issue are functional or mechanical equivalents. An express suggestion to substitute one equivalent component or process for another is not necessary to render such substitution obvious. In re Fout , 675 F.2d 297, 213 USPQ 532 (CCPA 1982)."

With regard to the issue of reasonable expectation of success in using such equivalents, Buck expressly provides evidence of the equivalence of primers. Specifically, Buck invited primer submissions from a number of labs (39) (page 532, column 3), with 69 different primers being submitted (see page 530, column 1). Buck also tested 95 primers spaced at 3 nucleotide intervals along the entire sequence at issue, thereby testing more than 1/3 of all possible 18 mer primers on the 300 base pair

Art Unit: 1637

sequence (see page 530, column 1). When Buck tested each of the primers selected by the methods of the different labs, Buck found that EVERY SINGLE PRIMER worked (see page 533, column 1). Only one primer ever failed, No. 8, and that primer functioned when repeated. Further, EVERY SINGLE CONTROL PRIMER functioned as well (see page 533, column 1). Buck expressly states "The results of the empirical sequencing analysis were surprising in that nearly all of the primers yielded data of extremely high quality (page 535, column 2)." Therefore, Buck provides direct evidence that all primers would be expected to function, and in particular, all primers selected according to the ordinary criteria, however different, used by 39 different laboratories. It is particularly striking that all 95 control primers functioned, which represent 1/3 of all possible primers in the target region. This clearly shows that every primer would have a reasonable expectation of success.

5. Claims 10 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gissmann et al. (U.S. Patent No. 6,228,368) in view of Marich et al. (Virology (1992) 186:77-776 and GenBank Accession No. M74117) and further in view of Yoo et al. (Genomics (1993) 15:21-29 and GenBank Accession No. M95623) and further in view of Buck et al. (BioTechniques (1999) 27:528-536).

Gissmann, Marich and Buck together teach the limitations of claim 9 as discussed above.

Neither Gissmann, Marich nor Buck teach amplification primers SEQ ID NO:19 and SEQ ID NO:20 and the probe SEQ ID NO:30, for detection and quantification of the amount of the human single copy gene hydroxymethylbilane synthase (HUMPBGDA).

With regard to claims 10 and 11, Yoo teaches a sequence that can be used for designing primers SEQ ID NO:19 and SEQ ID NO:20, and the probe SEQ ID NO:30 for detection and quantification of the human single copy gene HUMPBGDA (positions 4750-4770 of the PBGD sequence taught by Yoo is homologous to SEQ ID NO. 19, positions 4868-4850 of Yoo is homologous to SEQ ID NO. 20, and positions 4788-4813 of Yoo is homologous to SEQ ID NO. 30).

Yoo does not teach primers for detection and quantification of HPV 16 or HPV 35.

It would have been prima facie obvious to one having ordinary skill in the art at the time the invention was made to utilize the sequences taught by Yoo in order to design amplification primers and probes for a kit to detect and quantitate human PBGD. Thus, an ordinary practitioner would have been motivated to use such sequences in order to design primers and probes that are specific for a single-copy human PBGD gene which can be used for determining cell-copy number for more accurate detection and quantification of human papillomavirus such as the high-risk types of HPV 16 and 35 associated with cervical cancer.

In the recent court decision *In Re Deuel* 34 USPQ 2d 1210 (Fed. Cir. 1995), the Court of Appeals for the Federal Circuit determined that the existence of a general

method of identifying a specific DNA does not make the specific DNA obvious.

Regarding structural or functional homologs, however, the Court stated,

"Normally, a *prima facie* case of obviousness is based upon structural similarity, i.e., an established structural relationship between a prior art compound and the claimed compound. Structural relationships may provide the requisite motivation or suggestion to modify known compounds to obtain new compounds. For example, a prior art compound may suggest its homologs because homologs often have similar properties and therefore chemists of ordinary skill would ordinarily contemplate making them to try to obtain compounds with improved properties (see page 9, paragraph 4 of attached ref)."

Since the claimed primers simply represent structural homologs of the oligonucleotides taught by Yoo, which are 100% derived from sequences expressly suggested by the prior art of Yoo as useful for primers for the detection and quantitation of human PBGD and concerning which a biochemist of ordinary skill would attempt to obtain alternate compounds with improved properties, the claimed primers are *prima facie* obvious over the cited references in the absence of secondary considerations.

With regard to the issue of equivalence of the primers, MPEP 2144.06 notes "Substituting equivalents known for the same purpose. In order to rely on equivalence as a rationale supporting an obviousness rejection, the equivalency must be recognized in the prior art, and cannot be based on applicant's disclosure or the mere fact that the components at issue are functional or mechanical equivalents. An express suggestion to substitute one equivalent component or process for another is not necessary to render such substitution obvious. In re Fout , 675 F.2d 297, 213 USPQ 532 (CCPA 1982)."

With regard to the issue of reasonable expectation of success in using such equivalents, Buck expressly provides evidence of the equivalence of primers.

Specifically, Buck invited primer submissions from a number of labs (39) (page 532, column 3), with 69 different primers being submitted (see page 530, column 1). Buck also tested 95 primers spaced at 3 nucleotide intervals along the entire sequence at issue, thereby testing more than 1/3 of all possible 18 mer primers on the 300 base pair sequence (see page 530, column 1). When Buck tested each of the primers selected by the methods of the different labs, Buck found that EVERY SINGLE PRIMER worked (see page 533, column 1). Only one primer ever failed, No. 8, and that primer functioned when repeated. Further, EVERY SINGLE CONTROL PRIMER functioned as well (see page 533, column 1). Buck expressly states "The results of the empirical sequencing analysis were surprising in that nearly all of the primers yielded data of extremely high quality (page 535, column 2)." Therefore, Buck provides direct evidence that all primers would be expected to function, and in particular, all primers selected according to the ordinary criteria, however different, used by 39 different laboratories. It is particularly striking that all 95 control primers functioned, which represent 1/3 of all possible primers in the target region. This clearly shows that every primer would have a reasonable expectation of success.

6. Claims 12 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gissmann et al. (U.S. Patent No. 6,228,368) in view of Marich et al. (Virology (1992) 186:77-776 and GenBank Accession No. M74117) and further in view of Swan et al. (J. Clin. Microbiol. (1997) 35:886-891) and further in view of Buck et al. (BioTechniques (1999) 27:528-536).

Gissmann, Marich and Buck together teach the limitations of claim 9 as discussed above.

Neither Gissmann, Marich nor Buck teach a kit comprising at least two different fluorophores for detection and diagnosis of cervical cancer.

With regard to claims 12 and 14, Swan teaches a type-specific fluorogenic probe assay for detection and quantitation of HPV, including high-risk types associated with cervical cancer, using probes containing FAM or HEX and a rhodamine quencher dye, TAMRA (p. 886, column 1, lines 1-5, lines and column 2, lines 7-25).

Swan does not teach sequences that allow for the designing of primers and probes for detection and quantification of HPV 16 or HPV 35.

It would have been prima facie obvious to one having ordinary skill in the art at the time the invention was made to combine the teachings of Gissmann, Marich and Buck that teach sequences to allow designing of primers and probes for a kit for detection and quantification of HPV 16 or HPV 35 with those of Swan that teach the use of fluorogenic probes for detecting and quantitating high-risk HPV types since the probes can all be readily prepared with fluorescent labels during synthesis using the necessary phosphoramidites and esters (Swan, p. 886, column 2, lines 16-25). Thus, an ordinary practitioner would have been motivated to use HPV sequences in order to design primers and fluorescently-labeled probes to provide a fast, simple and highly sensitive method to detect and type HPV DNA, since the probe is present in the PCR reaction mixture to allow direct measurement of fluorescence after PCR without further manipulations (Swan, p. 890, column 1, line 13 to column 2, line 5).

7. Claims 13 and 18-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gissmann et al. (U.S. Patent No. 6,228,368) in view of Marich et al. (Virology (1992) 186:77-776 and GenBank Accession No. M74117) and further in view of Yoo et al. (Genomics (1993) 15:21-29 and GenBank Accession No. M95623) and further in view of Swan et al. (J. Clin. Microbiol. (1997) 35:886-891) and further in view of Buck et al. (BioTechniques (1999) 27:528-536).

Gissmann, Marich, Yoo and Buck together teach the limitations of claims 10 and 11 as discussed above.

Neither Gissmann, Marich, Yoo nor Buck teach a kit comprising three different fluorophores for detection and diagnosis of cervical cancer.

With regard to claims 13 and 18-20, Swan teaches a type-specific fluorogenic probe assay for detection and quantitation of HPV, including high-risk types associated with cervical cancer, using probes containing FAM or HEX and a rhodamine quencher dye, TAMRA (p. 886, column 1, lines 1-5, lines and column 2, lines 7-25).

Swan does not teach sequences that allow for the designing of primers and probes for detection and quantification of HPV 16 or HPV 35. Swan also does not teach amplification primers SEQ ID NO:19 and SEQ ID NO:20 and the probe SEQ ID NO:30, for detection and quantification of the amount of the human single copy gene hydroxymethylbilane synthase (HUMPBGDA).

It would have been prima facie obvious to one having ordinary skill in the art at the time the invention was made to combine the teachings of Gissmann, Marich, Yoo and Buck that teach sequences to allow designing of primers and probes for a kit for

Art Unit: 1637

detection and quantification of HPV 16 or HPV 35 as well as that of a house-keeping gene, PBGD, with those of Swan that teach the use of fluorogenic probes for detecting and quantitating high-risk HPV types since the HPV and PBGD probes can all be readily prepared with fluorescent labels during synthesis using the necessary phosphoramidites and esters (Swan, p. 886, column 2, lines 16-25). Thus, an ordinary practitioner would have been motivated to use HPV and PBGD sequences in order to design primers and fluorescently-labeled probes to provide a fast, simple and highly sensitive method to detect and type HPV DNA, since the probe is present in the PCR reaction mixture to allow direct measurement of fluorescence after PCR without further manipulations (Swan, p. 890, column 1, line 13 to column 2, line 5). Furthermore, primers and a probe for a housekeeping gene such as PBGD or β -globin (used by Swan) can be used to normalize the HPV signal to improve quantitation, since this allows samples with unequal DNA content or reaction inhibitors to be measured accurately (Swan, p. 890, column 2, lines 28-36).

Conclusion

8. Claims 9-14 and 18-20 are rejected. No claims are allowable.

Correspondence

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to David C. Thomas whose telephone number is 571-272-3320 and whose fax number is 571-273-3320. The examiner can normally be reached on 5 days, 9-5:30.

Art Unit: 1637

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Benzion can be reached on 571-272-0782. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

David C. Thomas
3/29/07
David C. Thomas
Patent Examiner
Art Unit 1637

N
JEFFREY FREDMAN
PRIMARY EXAMINER
3/29/07